DE 8011-OIP

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meetings

TIME AND DATE: Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94-409, that the Securities and Exchange Commission will hold an

Open Meeting on Wednesday, October 12, 2022 at 10:00 a.m.

PLACE: The meeting will be webcast on the Commission's website at www.sec.gov.

STATUS: This meeting will begin at 10:00 a.m. (ET) and will be open to the public via webcast

on the Commission's website at www.sec.gov.

MATTERS TO BE CONSIDERED: The Commission will consider whether to adopt certain

rule amendments regarding the electronic recordkeeping and prompt production of records

requirements for broker-dealers, security-based swap dealers, and major-security based swap

participants under the Securities Exchange Act of 1934.

CONTACT PERSON FOR MORE INFORMATION: For further information and to

ascertain what, if any, matters have been added, deleted or postponed, please contact Vanessa A.

Countryman from the Office of the Secretary at (202) 551-5400.

(*Authority*: 5 U.S.C. 552b.)

Dated: October 5, 2022.

Vanessa A. Countryman,

Secretary.

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